

10/2/06


PTO/SB/08A/B (09-06)

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


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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/581,021-Conf. #9733
				Filing Date	June 8, 2000
				First Named Inventor	Toshiya Takekuma
				Art Unit	3627
				Examiner Name	E. L. Gort
Sheet	1	of	1	Attorney Docket Number	21776-00050-US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			


FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-09-171531	06-30-1997	Nippon Steel Corp		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Keiichi Omura et al. "Market Micro-structure", July 24, 1998, p. 45-47. (partial translation)	x
	CB	Computopia, "Market trend of open system in U.S.A.", 1993, No. Nov. separate volume, p. 76-79. (partial translation)	x
	CC	Kaoru Takahashi, "Integrated View Software for Traders TradeLook", August 1, 1991, Vol. 23, No. 8, p. 70-74. (partial translation)	x

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Examiner Signature		Date Considered	11/18/06
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5/4/06

PTO/SB/08a/b (07-05)

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-10-269447	10-09-1998	HITACHI LTD		
	BB	JP-07-35570	02-07-1995	TOSHIBA CORP		
	BC	JP-02-217998	08-30-1990	TOKICO LTD		
	BD	JP-05-314139	11-26-1993	REUTERS LTD		
	BE	JP-05-101185	04-23-1993	mitsubishi heavy ind LTD		
	BF	JP-61-259299	11-17-1986	RICOH CO LTD		
	BG	JP-06-251022	09-09-1994	FUJITSU LTD		
	BH	JP-10-049575	02-20-1998	RICOH CO LTD		
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